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| Form 210 (Rev. 01-1-79) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADE-MARK OFFICE | | ATTORNEY DOCKET NUMBER 1581.0870000/RWE/MTT | |
| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 | | | | U.S. APPLICATION NO. (IF KNOWN, SEE 37 C.F.R. § 1.5) | |
| | | | | 09/937,484 | |
| INTERNATIONAL APPLICATION NO. PCT/GB00/01247 | | INTERNATIONAL FILING DATE 31 March 2000 | | PRIORITY DATE CLAIMED 31 March 1999 | |
| TITLE OF INVENTION Use of a Lactin or Conjugates for Modulation of C-Fibre Activity | | | | | |
| APPLICANT(S) FOR DO/EO/US FOSTER, Keith Alan, CHADDUCK, John Andrew, QUINN, Conrad Padraig | | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | |
| <p>1 <input type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2 <input checked="" type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3 <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)).</p> <p>4 <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).</p> <p>5 <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p style="margin-left: 20px;">a <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau)</p> <p style="margin-left: 20px;">b <input checked="" type="checkbox"/> has been communicated by the International Bureau.</p> <p style="margin-left: 20px;">c <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</p> <p>6 <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p>7 <input type="checkbox"/> Amendments to the claims of the International application under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p style="margin-left: 20px;">a <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau)</p> <p style="margin-left: 20px;">b <input type="checkbox"/> have been communicated by the International Bureau.</p> <p style="margin-left: 20px;">c <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p style="margin-left: 20px;">d <input type="checkbox"/> have not been made and will not be made.</p> <p>8 <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9 <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10 <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> | | | | | |
| Items 11. to 16. below concern other document(s) or information included: | | | | | |
| <p>11 <input type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98 with 6 pages of Form PTO-1449 and 23 cited documents.</p> <p>12 <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.</p> <p>13 <input type="checkbox"/> A FIRST preliminary amendment.</p> <p style="margin-left: 20px;"><input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</p> <p>14 <input type="checkbox"/> A substitute specification.</p> <p>15 <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>16 <input checked="" type="checkbox"/> Other items or information:</p> <p style="margin-left: 20px;">a) Petition for Extension of Time under 37 C.F.R. § 1.136.</p> <p style="margin-left: 20px;">b) Copy of the Notification of Missing Requirements.</p> <p style="margin-left: 20px;">c) Power of Attorney from Assignee with Delegation.</p> <p style="margin-left: 20px;">d) Letter to PTO Draftsman. Submission of Formal Drawings.</p> <p style="margin-left: 20px;">e) 14 sheets of Formal Drawings, and</p> <p style="margin-left: 20px;">f) Return Postcard.</p> | | | | | |

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| U.S. APPLICATION NO. (if known) (see 37 CFR 1.590) 09/937,484 | INTERNATIONAL APPLICATION NO. PCT/GB00/01247 | ALLOCATED SEQUENCE NO. OF 1581-0870000.RW1.M11 |
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| 17. <input checked="" type="checkbox"/> The following fees are submitted: | CALCULATIONS PLEASE ONLY |
| Basic National Fee (37 CFR 1.492(a)(1)-(5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the IPO or JPO \$1000.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the IPO or JPO \$860.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$710.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$690.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$ 100.00 | |
| ENTER APPROPRIATE BASIC FEE AMOUNT = | \$ 00.00 |
| Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(c)) | \$ 00.00 |

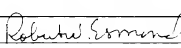
| Claims | Number Filed | Number Extra | Rate | Total | |
|---|--------------|--------------|------|-------------------|---------------------------|
| Total Claims | 19 | - 20 | = 0 | X \$18.00 | \$ 00.00 |
| Independent Claims | 2 | - 3 | = 0 | X \$80.00 | \$ 00.00 |
| Multiple dependent claim(s) (if applicable) | | | | = \$270.00 | \$ 00.00 |
| TOTAL OF ABOVE CALCULATIONS = | | | | | \$00.00 |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. | | | | | \$ |
| SUBTOTAL = | | | | | \$00.00 |
| Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)) | | | | | \$ |
| TOTAL NATIONAL FEE = | | | | | \$00.00 |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property. | | | | | \$ 40.00 |
| TOTAL FEES ENCLOSED = | | | | | \$150.00 |
| | | | | | Amount to be refunded: \$ |
| | | | | | charged: \$ |

a ☒ A check in the amount of \$ 150.00 to cover the Extension of Time (\$110.00) and Assignment recordation (\$40.00)

b ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.

c ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-0036. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

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| SEND ALL CORRESPONDENCE TO: STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C. 1100 New York Avenue, NW, Suite 600 Washington, D.C. 20005-3934 |  NO. 817 30 Robert W. Esmond NAME 32,893 RECEIVED/DATE/SE/MB/E |
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☒ Commissioner For Patents, Box PCT, United States Patent and Trademark Office, Washington, D.C. 20231, www.uspto.gov

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| U.S. APPLICATION NUMBER NO | FIRST NAMED APPLICANT | ATTY DOCKET NO |
| 09/937,484 | Keith Alan Foster | 1581.0870000/RWE/MTT |
| INTERNATIONAL APPLICATION NO | | |
| PCT/GB00/01247 | | |
| 1A FILING DATE | PRIORITY DATE | |
| 03/31/2000 | 03/31/1999 | |

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Washington, DC 20005-3934

CONFIRMATION NO. 2134

371 FORMALITIES LETTER



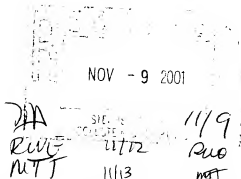
OC00000007009525

Date Mailed: 11/02/2001

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Preliminary Amendments
- Request for Immediate Examination



The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Declaration due January 2, 2002
Stat. Rm June 2, 2002

CHARITTA A BURT

Telephone (703) 305-3734

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO | INTERNATIONAL APPLICATION NO | ATTY DOCKET NO |
|----------------------------|------------------------------|----------------------|
| 09/937,484 | PCT/GB00/01247 | 1581.0870000/RWE/MTT |